

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jamie KNAPP

U.S.S.N.: Not Yet Assigned **GROUP ART UNIT:** Not Yet Assigned

FILED: Herewith **EXAMINER:** Not Yet Assigned

FOR: ISOTROPIC GLASS-LIKE CONFORMAL COATINGS AND
METHODS FOR APPLYING SAME TO NON-PLANAR SUBSTRATE
SURFACES AT MICROSCOPIC LEVELS

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," Express Mail Label No. **EL931634952US** addressed to: **MAIL STOP PATENT APPLICATION**, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 18, 2004.

By: Kathryn A. Grindrod
Kathryn A. Grindrod

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. **LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto. The citation of this information by Applicant(s) is not necessarily an admission that the information (1) qualifies as prior art by virtue of its date of publication/availability, and/or that (2) the information is relevant to the patentability of the claims of this application.

II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. , filed on . The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. X Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. X within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).
- or
- b. See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that

- a. each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

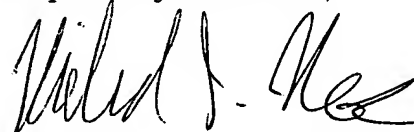
c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

_____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

 X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,



Date: February 18, 2003

By:

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT			DOCKET NO.:		SERIAL NO.:		
			60158 (70919)		Not Yet Known		
			APPLICANT(S):				
			Jamie KNAPP				
			FILING DATE:		GROUP NO.:		
			Herewith		Not Yet Known		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	4,333,962	06/08/1982	Hans K. Pulker, et al.	427	37	
	AB	4,448,802	05/15/1984	Rainer Buhl, et al.	427	42	
	AC	4,619,748	10/28/1986	Eberhard Moll, et al.	204	192.31	
	AD	5,211,759	05/18/1993	H. Zimmermann, et al.	118	723	
	AE	5,229,570	07/20/1993	Helmut Kaufmann, et al.	219	121.28	
	AF	5,753,319	05/19/1998	Jamie Knapp, et al.	427	529	
	AG	6,139,968	10/31/2000	Jamie Knapp, et al.	428	428	
	AH	6,157,503	12/05/2000	Jamie Knapp, et al.	359	830	
	AI	6,587,264 B2	07/01/2003	Jamie Knapp	359	361	
	AJ	6,611,375 B2	08/26/2003	Jamie Knapp	359	361	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES/NO
	BA						
	BB						
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
	CA						
EXAMINER:					DATE:		